

09/887697

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**FACSIMILE TRANSMITTAL SHEET**

DATE: August 1, 2002  
TO: Office of Initial Patent Examination  
COMPANY: U.S. Patent and Trademark Office  
FILE NO.: STL 3025  
FACSIMILE: (703) 746-4060  
FROM: Laura Hulac  
PAGE(S): 28 (w/cover)

Receipt Date  
Granted is 11/1/01.  
Dismissed as moot.  
E. Jones from  
OPLA.

**MESSAGE:**

We filed the attached patent application on November 1, 2001. We have not received a serial number. Would you please assign the serial number and grant us the November 1, 2001 filing date. Thank you.

We will send copies of this to you by Express Mail tomorrow. The Express Mail Airbill No. is EV 088962213.

**CERTIFICATE OF MAIL (37 CFR 1.6(d))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being transmitted by facsimile to the Office of Initial Patent Examination, U.S. Patent and Trademark Office on August 1, 2002.

Signed: Laura Hulac**CONFIDENTIALITY NOTE**

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The Patent & Trademark Office acknowledges and has stamped hereon the date of receipt of the items checked below which were mailed: NOVEMBER 1, 2001

DOCKET No.: 8033025  
 SERIAL No.: UNKNOWN  
 FILED: NOVEMBER 1, 2001  
 APPLICANT: SEAGATE TECH/NO/064 LLC  
 INVENTOR: LEE ETAL

( ) AFFIDAVIT  
 ( ) AMENDMENT  
 (X) APPLICATION PAPERS - OATH/DECLARATION  
 (X) TOTAL CLS. 20, IND. CLS. 4 FEE \$ 84  
 (X) 4 SHEET(S) OF DRAWING(S) FORMAL INFORM  
 ( ) ASSIGNMENT- RECORDAL FEE \$  
 ( ) BRIEF  
 ( ) APPEAL NOTICE  
 (X) DECLARATION  
 ( ) ISSUE FEE - BASE/BALANCE  
 ( ) LETTER - CHARGE DEPOSIT ACCOUNT  
 ( ) LETTER  
 ( ) TRADEMARK/SERVICEMARK APPLICATION  
 ( ) PETITION  
 ( ) PRELIMINARY AMENDMENT  
 ( ) INFORMATION DISCLOSURE STATEMENT  
 ( ) REQUEST FOR EXTENSION OF TIME  
 ( ) RESPONSE TO  
 ( ) SPECIMENS  
 ( ) SMALL ENTITY  
 ( ) CHECK # \_\_\_\_\_ IN THE AMOUNT OF \$  
 ( ) RETURN POSTCARD  
 (X) TRANSMITTER LETTER  
 (X) SPEC. INCL. 12 PP.; 5 PP. CLAIMS; 1 PP. ABSTRACT  
 EXPRESS: EL-804719721US-

JC18 Rec'd PCT/PTO 01 NOV 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: November 1, 2001

File No. 8033025/JAS

Commissioner for Patents  
Washington, DC 20231

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TYPED NAME DOLORES WIX

SIGNED Dolores Wix

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):  
**SHIH-FU LEE, YONG HU and DAVID S. KUO**

Title: **DISC DRIVE HEAD-MEDIA SPACING MODELING AND APPLICATIONS**

Enclosed are also:

- ☒ 4 Sheets of drawings, Formal XX, Informal \_\_\_\_\_
- ☐ An Assignment of the invention to:
- ☐ Power of Attorney by Assignee
- ☒ [Unsigned] Declaration for Patent Application
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Applicant claims small entity status. See 37 C.F.R. 1.27.

*Copy of  
previously filed  
Application Papers  
E. Jones from  
OPCA*

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE	OTHER THAN SMALL ENTITY RATE FEE
BASIC FEE			\$395	\$740
TOTAL CLAIMS	20 - 20	0	x 9 = \$	x 18 =
INDEP CLAIMS	4 - 3	1	x 42 = \$	x 84 = 84
MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =	+280 =
If the difference in Col 1 is less than zero, enter "0" in Col. 2			TOTAL \$	TOTAL \$ 824

☐ Our Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

☒ Please charge the amount of \$ 824.00 to Deposit Account No. 20-0782 (Order No. 8033025/JAS).

☒ This application claims priority to U.S. Provisional Patent Application Serial No. 60/276,764 filed March 16, 2001.

☒ The Commissioner is hereby authorized to charge any additional fees that may be required, including extension fees, or credit any overpayment to Deposit Account No. 20-0782 (Order No. 8033025/JAS). A copy of this sheet is enclosed for such purpose.

Respectfully submitted,

Eddy J. Song

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